

## OIG Recovery Act Monthly Report

<b>Monthly Update Report Data (sheet 1 of 4) Version 4.0a</b>		
Reporting Entity:	Department of Transportation - OIG	
Month Ending Date:	12/31/2009	

<b>Recovery Act Funds Used on Recovery Act Activity</b>								
No.	Agency / Bureau	Recovery Act TAFS	Award Type	US Indicator	Total Obligations	Total Gross Outlays	Direct or Reimbursable	Ordering TAFS
1	Department of Transportation - OIG	(69-0131 2009 \ 2013) Transportation - OIG - Recovery Act		Y - US	\$1,437,608	\$1,295,260	Direct	N/A
2								
3								
4								
5								
6								
7								
8								
9								
10								

<b>Non-Recovery Act Funds Used on Recovery Act Activity</b>							
No.	Agency / Bureau	FY 2009 Non-Recovery Act TAFS	Total FY 2009 Obligations	Total FY 2009 Gross Outlays	FY 2010 Non-Recovery Act TAFS	Total FY 2010 Obligations	Total FY 2010 Gross Outlays
1	Department of Transportation - OIG	(69-0130 2009) Transportation - OIG	\$1,569,106	\$1,569,106	(69-0130 2010) Transportation - OIG	\$0	\$0
2							
3							
4							
5							
6							
7							
8							
9							
10							

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FTE Working on Recovery			
Fiscal Year	2009	2010	Cumulative
Newly Hired FTE (cumulative):	0.77	2.12	2.89
FTE Funded by Recovery Act Funds (cumulative):	1.54	9.74	11.28
FTE <u>Not</u> Funded by Recovery Act Funds (cumulative):	15.20	0.00	15.20

Testimonies:	
Provided (monthly):	0
Provided (cumulative):	3

Complaints		Whistleblower Reprisal Allegations		Investigations		Audits / Inspections / Evaluations / Reviews		Training / Outreach	
Monthly Data		Monthly Data		Monthly Data		Monthly Data		Monthly Data	
Received:	5	Received:	0	Opened (this month):	4	Initiated (this month):	3	Training Sessions Provided:	5
		Accepted:	0	Active (as of the end of the month):	13	In Process (as of the end of the month):	9	Individuals Trained:	395
		Pending Decision:	10	Completed Final Published Work Products:	0	Hours of Training Provided:	295		
		Closed without Action:	0	Priority Interim Published Work Products:	0	Outreach Sessions Conducted:	4		
		Accepted for Prosecution:	0	Unpublished Work Products*:	0				
		Prosecution Denied:	0						
		Referred for Alternative Resolution:	0						
Cumulative Data Since 2/17/2009		Cumulative Data Since 2/17/2009		Cumulative Data Since 2/17/2009		Cumulative Data Since 2/17/2009		Cumulative Data Since 2/17/2009	
Received:	199	Received:	0	Closed without Action:	1	Completed Final Published Work Products:	6	Training Sessions Provided:	155
		Accepted:	0	Accepted for Prosecution:	12	Priority Interim Published Work Products:	0	Individuals Trained:	11,261
		Prosecution Denied:	1	Unpublished Work Products:	0	Hours of Training Provided:	11,161		
		Referred for Alternative Resolution:	1	<b>Cumulative Total:</b>	<b>6</b>	Outreach Sessions Conducted:	135		
				<b>Cumulative Total:</b>	<b>15</b>				

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### Monthly Update Report Data (sheet 3 of 4) Version 4.0a

<b>Reporting OIG:</b>	Department of Transportation - OIG
<b>Month Ending Date:</b>	12/31/2009

No.	OTHER TYPES OF SIGNIFICANT ACTIVITIES (Completed/On-Going During Reporting Month)
1	On December 8, the DOT OIG initiated a review of security controls over ARRA websites. The objectives of this audit are to determine if (1) DOT's recovery Websites and database systems are properly configured to minimize the risk of cyber attacks and (2) access to recovery Websites and database systems are adequately controlled to ensure accountability and integrity of ARRA data.
2	On December 11, the DOT OIG initiated a review of DOT's oversight of Recovery Act recipient reporting. The objectives of this review are to determine whether, during the first Section 1512 reporting cycle in October 2009, the Department (1) identified inaccurate data or missing recipient reports, (2) identified the cause(s) of the inaccurate data or missing recipient reports, and (3) mitigated the causes/errors.
3	On December 22, the DOT OIG initiated an audit of DOT's implementation of single audit recommendations and cost recovery. The objectives of this audit are to determine if DOT Operating Administrations have (1) issued management decisions approving grantees' corrective action plans, (2) ensured the prompt implementation of corrective actions by grantees, (3) taken timely action to recover questions costs, and (4) used Single Audit results to identify grantees requiring close monitoring.

No.	OTHER TYPES OF SIGNIFICANT ACTIVITIES (Planned for Next Three Months)
1	In January, the DOT OIG plans to initiate an evaluation of high speed rail forecasting best practices. The objectives of this evaluation will be to: (1) assess the strengths and weaknesses of various methodologies used to develop high speed rail and intercity passenger rail ridership and revenue forecasts, cost estimates, and public benefits valuations; and (2) identify best practices in the preparation of these forecasts.
2	By March 31, the DOT OIG plans to initiate audits/reviews of the following: FAA's oversight of Recovery Act grant expenditures, FHWA's oversight of higher dollar value Recovery Act highway projects, FHWA's oversight of improper payments, FRA's state-freight railroad capital agreements, FRA and states ability to plan and manage high speed rail projects, FTA's oversight of major transit projects in the New York region, and FTA's oversight of grantees' Recovery Act contract award and administration practices.
3	Issue "ARRA Advisories" to the Department and Congress when we identify vulnerabilities that could impede DOT's ability to provide effective oversight to ARRA-funded projects and meet new requirements
4	Continue to monitor for fraudulent schemes in the contracting process.

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<b>Month Ending Date:</b>	12/31/2009	

TRAINING ACTIVITIES											
No.	Type of Training	Target Audience	Title of Training	Training Location (City, State)	Date of Training	Length of Training (hours)	Number of Participants	Hours of Training Provided (length x participants)	Cost of Training	Presentation with Other OIGs	Average Evaluation Rating
1	Fraud Prevention/Awareness	Mixed	Fraud Prevention/Awareness Briefing	Fort Worth, Texas	12/02/2009	1	20	20			
2	Recovery Act Orientation/Overview	Mixed	DOT Recovery Act Role and Responsibilities	Philadelphia, Pennsylvania	12/04/2009	1	80	80			
3	Fraud Prevention/Awareness	Private	Fraud Prevention/Awareness Briefing	Champaign, Illinois	12/09/2009	0.5	200	100			
4	Fraud Prevention/Awareness	State	Fraud Prevention/Awareness Briefing	Cambridge, Massachusetts	12/15/2009	1	45	45			
5	Fraud Prevention/Awareness	Federal	Fraud Prevention/Awareness Briefing	Los Angeles, California	12/16/2009	1	50	50			
<b>TOTAL</b>							<b>395</b>	<b>295</b>			

OUTREACH ACTIVITIES					
No.	Organization to which Outreach Provided	Number of Organizations Represented at Outreach Session	Description of Outreach	Outreach Location (City, State)	Date of Outreach
1	Southern Colorado Threat Working Group	9	DOT OIG's Recovery Act role and investigative priorities	Denver, Colorado	12/03/2009
2	Palm Beach County	1	Discussed Recovery Act oversight initiatives	Palm Beach, Florida	12/07/2009
3	State Office of Minority and Women Business Assistance	1	Discussed DOT OIG's Recovery Act role and responsibilities, and DBE fraud indicators	Boston, Massachusetts	12/16/2009
4	Miami Dade Transit	1	Discussed Recovery Act compliance procedures	Miami, Florida	12/17/2009